



## Perennial Trusts

# Direct Debit Request (DDR) Form

24 May 2017

Responsible Entity: Perennial Investment Management Limited ABN 13 108 747 637  
 AFSL 275101 (PIML) Investment Manager: Perennial Value Management Limited  
 ABN 22 090 879 904 AFSL 247293 (Perennial).

**For immediate assistance please call a Client Services Representative on 1300 730 032 (+612 8274 2777 New Zealand).**

**Please use CAPITAL letters and tick (✓) boxes where appropriate.**

All words and phrases in this DDR Form have the same meaning as given to them in the relevant product disclosure statement (PDS) and Additional Information Booklet (if applicable). In addition to making lump sum investments, investors in the Perennial Trust(s) have the opportunity to implement a regular savings plan through a DDR facility. The DDR facility enables investors to instruct us to make monthly deductions from an account with a financial institution such as a bank, building society or credit union and allocate the amount debited into investments available through the Trust in accordance with your instructions. The DDR facility may not be available on all accounts provided by financial institutions. You should check with your financial institution as to its availability before nominating an account.

The minimum monthly investment amount is \$200 per Trust except for the Perennial Volatility Alpha Trust where the minimum monthly amount investors may nominate through the DDR is \$10,000.

### Step 1: Investor Number

Please provide your **investor number**.

Investor Number

### Step 2: Type of Instruction

Please select from the below instructions. This is:

A **new** DDR. Please complete **all sections** of this form.  
 Payment to commence from   /   /

**OR**

An alteration to my/our existing DDR. Select **ONE** of the following:  
 Change of DDR investment amount. COMPLETE **Steps 3 and 5** of this form.  
**and/or**  
 Change to my/our nominated financial institution account. COMPLETE **Steps 4 and 5** of this form.

**OR**

A cancellation of the DDR. COMPLETE **Step 5** of this form.

### Step 3: Regular Investment Amount

Please indicate the regular amount to be deducted from your nominated financial institution account on a monthly basis and invested into your nominated account(s). The minimum monthly investment is \$200 per Trust except from the Perennial Volatility Alpha Trust where the minimum monthly amount investors may nominate through the DDR is \$10,000.

Name of Trust	APIR Code	Amount to be invested
Perennial Value Australian Shares Trust	IOF0200AU	\$
Perennial Value Shares For Income Trust	IOF0078AU	\$
Perennial Value Smaller Companies Trust	IOF0214AU	\$
Perennial Value Wealth Defender Australian Shares Trust	IOF0228AU	\$
Perennial Value Shares Wholesale Trust	IOF0206AU	\$
Perennial Value Microcap Opportunities Trust	WPC3982AU	\$
Perennial Value Active Plus Shares Trust	WPC6780AU	\$
Perennial Volatility Alpha Trust	WPC8778AU	\$

**Please note:**

- Your initial investment must be received prior to the direct debit commencing; and
- the DDR amount will be deducted from your nominated financial institution account on the 15th day of each month or the nearest business day after the 15th day of the month.

### Step 4: Financial Institution Account Details

Name of Financial Institution

Branch

Address of Branch

Suburb/City  State  Postcode

Name of Account

BSB Number - Account Number

**Please note:**

- Direct debit is not available on all accounts. If in doubt, please refer to your bank or financial institution.
- If the financial institution account is held in the name of a third party(ies), the third party(ies) must also sign **Step 5** of this form (please see 'For Third Party Accounts only' below).

I/We, the holder(s) of the above account, until further notice in writing, authorise PIML (User ID 497 392) to debit my/our account as detailed above with any amount which PIML may debit or charge in connection with my/our use of the DDR through the Bulk Electronic Clearing System (BECS).

**Step 5: Declaration**

By signing below, I/we:

- Declare that all details provided in this DDR form are true and correct and I undertake to inform PIML of any changes to the information supplied as and when they occur.
- Have read the **Direct Debit Request Service Agreement** (found on page 4 – DDR Terms and Conditions) that forms part of this request and agree to be bound by the terms by which this DDR operates.
- Consent to the collection, use, storage and disclosure of my/our personal information by Perennial in accordance with the Privacy Act 1988 (Cth) and acknowledge that a copy of the Perennial Privacy Policy is available from **www.perennial.net.au** or may be obtained by calling a Client Services Representative on 1300 730 032 (+612 8274 2777 New Zealand).

**Power of Attorney**

If this form is signed under a Power of Attorney, please enclose an **original certified copy** of the Power of Attorney with this form (unless provided previously). If signed under Power of Attorney, the attorney certifies that he/she has not received notice of revocation of that power.

**For Company Investors**

This request must be signed:

- by two Directors or a Director and Company Secretary; or
- by the Sole Director (where applicable); or
- under Common Seal.

**Signatory 1**

Title (if applicable)

e.g. Director/Sole Director/Company Secretary

Print Name

Date (dd/mm/yyyy)

/


/

**Signatory 3** (for additional Trustees if applicable)

Title (if applicable)

e.g. Director/Company Secretary

Print Name

Date (dd/mm/yyyy)

/


/

**Common Seal** (of company) (if required)

**Signatory 2**

Title (if applicable)

e.g. Director/Company Secretary

Print Name

Date (dd/mm/yyyy)

/


/

**Signatory 4** (for additional Trustees if applicable)

Title (if applicable)

e.g. Director/Company Secretary

Print Name

Date (dd/mm/yyyy)

/


/

**Post to:**

Perennial Trusts  
Registry Services  
GPO Box 1406  
Melbourne VIC 3001

**Fax to:**

1300 365 601

**Client Services:**

1300 730 032  
(+612 8274 2777 NZ)

**Website:**

**www.perennial.net.au**

**For Third Party Accounts ONLY**

**Important information if a third party account has been listed for the Direct Debit Facility**

A third party is a person/entity/company who is not the holder of the Perennial Trust account that this direct debit arrangement relates to.

If the financial institution account is held in the name of the investor and a third party, or a third party(ies) only, the third party(ies) must also sign below authorising direct debit payments from their account.

By signing below, I/we consent to the investor(s) registered on this Perennial account to debit the required monthly contributions from the account listed overleaf and understand and agree that the DDR investment amount may change without further approval from me/us.

Proof of identity is required for all third party account holders. Please refer to **www.perennial.net.au** for further details.

**Third Party 1 Signature**

Title (if applicable)

e.g. Director/Company Secretary

Print Name

Date (dd/mm/yyyy)

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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**Third Party 2 Signature (if applicable)**

Title (if applicable)

e.g. Director/Company Secretary

Print Name

Date (dd/mm/yyyy)

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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**DDR Terms and Conditions**

**Our service commitment**

This agreement outlines our service commitment to an investor in respect of the DDR arrangements made between you and us (User ID 497 392). It also sets out your rights and responsibilities.

- *Initial terms of the arrangement*  
In terms of the DDR arrangement entered into with an investor, we undertake to periodically debit, from your nominated financial institution account, a specified amount or amounts for deposit into the specified Trust(s). The minimum monthly amount investors may nominate through the DDR is \$200 for all Trusts except for the Perennial Volatility Alpha Trust where the minimum monthly amount investors may nominate through the DDR is \$10,000.
- *Drawing arrangements*  
Deposits will be deducted from your financial institution account on the 15th day of each month or nearest business day after the 15th day of the month. We may, at any time by notice, suspend or terminate the drawing arrangement or change the operation of your DDR facility.

**Investors' rights**

**Changes to the arrangement**

- You can stop an individual debit, cancel or suspend your DDR facility, by giving written instructions to us. This may be restarted by completing a new DDR form.
- You can alter the monthly payments by forwarding to us a new DDR form.
- We will endeavour to act upon an investor's request within 10 working days of receipt of the request.

All correspondence should include an account number.

*Disputes*

If you believe that a debit has been incorrectly made or processed, or have any query or dispute in relation to your DDR facility, you can contact a Client Services Representative on 1300 730 032 (or +612 8274 2777 New Zealand).

*Confidentiality*

All information held by us in relation to your DDR will be kept confidential, except where:

- such information is required to be provided to our financial institution to initiate, change or cancel an investor's drawing arrangement;
- disclosure is required or authorised by law; and,
- you authorise, or otherwise give your consent to, the release of the information either directly or through a duly appointed agent or attorney.

**Investor obligations**

It is your responsibility to ensure:

- your nominated account can accept direct debits;
- there are sufficient cleared funds in the nominated account on the drawing date; and,
- you advise us if the nominated account is transferred or closed.

In the event that your debit is dishonoured or rejected, we will notify you. We may, without notice, cancel your DDR facility if there are insufficient funds in your account to honour the direct debit. Should we be charged any dishonour fees as a result of insufficient funds in your account, then we may deduct these charges from your investment with us. To avoid dishonour fees, you should always ensure that there are enough cleared funds in the account prior to a direct debit payment.